SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

	OMB Number:	3235-0287										
	Estimated average burden											
l	hours per response:	0.5										

11. Nature

of Indirect

Beneficial

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Section So(ii) of the investment company Act of 1540							
1. Name and Addro Molluso Jose	ess of Reporting Pe <u>eph</u>	rson*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Virtu Financial, Inc.</u> [ VIRT ]		tionship of Reporting Per all applicable) Director	10% Owner				
(Last) C/O VIRTU FI	(First) NANCIAL, INC	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/13/2023	X	Officer (give title below) Co-President &	Other (specify below) Co-COO				
1633 BROADWAY			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	vidual or Joint/Group Filing (Check Applicable					
(Street)					Form filed by One Reporting Person					
(Street) NEW YORK	NY	10019			Form filed by More that Person	In One Reporting				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	•						
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							
	-	Table I - Non-Deriv	ative Securities Acquired Disposed of or Benef	icially	Owned					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code	action	4. Securities Disposed Of	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A common stock	09/13/2023		Р		15,000	A	\$17.1654(1)	358,160	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 2. Conversion 3. Transaction 3A. Deemed 6. Date Exercisable and 7. Title and 9. Number of 5. Numbe 8. Price of 10. Execution Date, if any Transaction Expiration Date (Month/Day/Year) Amount of Derivative derivative Ownership Date of Derivative (Month/Dav/Year) or Exercise Code (Instr. Securities Security Securities Form:

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Underlying Derivative Security (Instr. 3 and 4)		(Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Deferred Stock Unit	(2)						(3)	(3)	Class A common stock	47,569		47,569	D	
Restricted Stock Unit	(4)						(5)	(5)	Class A common stock	60,067		60,067	D	

### Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$17.1350 to \$17.2300, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

2. Deferred Stock Units ("DSU") credited to the reporting person under the Virtu Financial, Inc. Deferred Compensation Plan, effective November 13, 2020, for Restricted Stock Units ("RSUs") granted under the Issuer's Amended and Restated 2015 Management Incentive Plan. Each DSU is economically equivalent to one share of Class A common stock.

3. The DSUs credited under the Deferred Compensation Plan are generally payable in the form elected or provided under the Deferred Compensation Plan on the earlier of: (i) a separation from service, (ii) a specified date, or (iii) a change in control.

4. Each RSU is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.

5. The RSUs vest in three equal annual installments on February 3, 2024, February 1, 2025, and February 1, 2026.

**Remarks:** 

1. Title of

Derivative

Security

### Justin Waldie, as Attorney-in-<u>Fact</u> 0

09/13/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.