| SEC Form 4 |
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

I OMB APPROVAL

| 1                        |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

| Section 16. Fo   | if no longer subject to<br>rm 4 or Form 5<br>y continue. See<br>). |                  | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  |   |   |  |  |  |  |
|--|--|------------------|---|---|---|--|--|--|--|
| 1. Name and Address of Reporting Person*<br>Molluso Joseph<br>(Last) (First)<br>C/O VIRTU FINANCIAL, INC.<br>1633 BROADWAY |  | son*<br>(Middle) | or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name <b>and</b> Ticker or Trading Symbol Virtu Financial, Inc. [VIRT] 3. Date of Earliest Transaction (Month/Day/Year) | (Check all applical<br>Director<br>X Officer (g<br>below) | ive title 10% Owner<br>other (specify<br>below)           |  |  |  |  |
|  |  |                  | 02/01/2022 4. If Amendment, Date of Original Filed (Month/Day/Year)   |   | President & Co-COO  |  |  |  |  |
| (Street)<br>NEW YORK   | NY   | 10019            |   | Line)<br>X Form file                                      | d by One Reporting Person<br>d by More than One Reporting |  |  |  |  |
| (City)   | (State)  | (Zip)            |   | Feison  |   |  |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.     4. Securities Acquired (A) or       Transaction     Disposed Of (D) (Instr. 3, 4 and       Code (Instr.     5) |   |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |   |            |
|---------------------------------|--|---|---|---|--------|---|---|---|---|------------|
|                                 |  |   | Code  | v | Amount | (A) or<br>(D)   | Price   | Transaction(s)<br>(Instr. 3 and 4)                  |   | (Instr. 4) |
| Class A common stock            | 02/01/2022                                 |   | М   |   | 9,042  | Α   | (1)   | 284,855   | D |            |
| Class A common stock            | 02/01/2022                                 |   | F   |   | 5,001  | D   | (2)   | 279,854   | D |            |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Sec<br>Acq<br>(A) (<br>Disp<br>of (I | posed<br>D)<br>tr. 3, 4 |                     | d 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |                            | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|-------------------------|---------------------|---|----------------------------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)                     | Date<br>Exercisable | Expiration<br>Date  | Title                      | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |
| Restricted<br>Stock Unit                            | (3)   | 02/01/2022                                 |   | М                            |   |  | 9,042                   | (4)                 | (4)   | Class A<br>common<br>stock | 9,042   | \$0  | 84,750 <sup>(5)</sup>  | D  |  |

#### Explanation of Responses:

1. Shares of Class A common stock issued in settlement of vested restricted stock units ("RSUs") granted under the Issuer's Amended and Restated 2015 Management Incentive Plan.

2. Shares of Class A common stock withheld for tax by the Issuer in relation to the settlement of vested RSUs in accordance with the Issuer's Amended and Restated 2015 Management Incentive Plan.

3. Each RSU is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.

4. The RSUs vested on February 1, 2022.

5. 18,084 of the RSUs vest in two equal annual installments on February 1, 2023 and February 1, 2024. 66,666 of the RSUs vest on January 24, 2023.

**Remarks:** 

#### Justin Waldie, as Attorney-in-02/03/2022 Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.