SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person [*] Cifu Douglas A				2. Issuer Name and Ticker or Trading Symbol Virtu Financial, Inc. [VIRT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
											ľ X	,		10% Owne		ner	
												_ <u>></u>	Officer below)	(give title		Other (s below)	pecify
(Last) (First) (Middle) C/O VIRTU FINANCIAL, INC. 1633 BROADWAY				3. Date of Earliest Transaction (Month/Day/Year) 01/23/2022								Chief Executive Officer					
(Street) NEW YORK NY 10019					4. If Amendment, Date of Original Filed (Month/Day/Year)							Line)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	((State)	(Zip)										1 013011				
		Та	able I - No	n-Deriv	ative S	ecurities Ac	quired,	Dis	posed	of, o	or Bene	eficially	Owned				
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				2A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction Code (Instr.					5. Amoun Securities Beneficial Owned Fo Reported	ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Direct lindirect E r.4) C	. Nature of Idirect eneficial ownership		
							Code	v	Amount	:	(A) or (D)	Price	Transactio (Instr. 3 a			"	nstr. 4)
Class A common stock 01/23				01/23	/2022		М		18,01	15	A	(1)	356,	220	Г)	
Class A common stock 01/23				/2022		F		6,57	6,576 D		(2)	349,644		Г)		
Class A common stock 01/24				/2022		М	М		26,841		(1)	376,485		D			
Class A common stock 01/24					/2022		F		10,94	45	D	(2)	365,	65,540)	
Class A common stock													392,	755	I		ee ootnote ⁽³⁾
			Table II -			curities Acq IIs, warrants	,			,			Owned				
1. Title of Derivative Security (Instr. 3)	trivative Conversion Date Execution Date, Tr. curity or Exercise (Month/Day/Year) if any Co		ansaction ode (Instr.	of I	6. Date Ex Expiration (Month/Da	Date	Securities Underl		derlying curity	ing Derivative derivativ		ve (es F	10. Ownership Form: Direct (D)	11. Natur of Indirec Beneficia Ownersh			

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)				Expiration Date (Month/Day/Year)			s Underlying e Security nd 4)	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable			Amount or Number of Shares				
Restricted Stock Unit	(4)	01/23/2022		М			18,015	(5)	(5)	Class A common stock	18,015	\$0	182,935	D	
Restricted Stock Unit	(4)	01/24/2022		М			26,841	(6)	(6)	Class A common stock	26,841	\$0	156,094 ⁽⁷⁾	D	
Non- voting common interest units of Virtu Financial LLC	(8)							(8)	(8)	Class A common stock	2,830,742		2,830,742	I	See footnote ⁽⁹⁾
Non- voting common interest units of Virtu Financial LLC	(8)							(8)	(8)	Class A common stock	819,804		819,804	I	See footnote ⁽¹⁰⁾

Explanation of Responses:

1. Shares of Class A common stock issued in settlement of vested restricted stock units ("RSUs") granted under the Issuer's Amended and Restated 2015 Management Incentive Plan.

2. Shares of Class A common stock withheld for tax by the Issuer in relation to the settlement of vested RSUs in accordance with the Issuer's Amended and Restated 2015 Management Incentive Plan.

3. By a trust, for the benefit of the Cifu Family (the "Cifu Family 2020 Trust"). Melissa B. Lautenberg, the reporting person's wife, holds dispositive control and voting control over the 392,755 shares held by the Cifu Family 2020 Trust. The reporting person may be deemed to beneficially own the shares held by the Cifu Family 2020 Trust by virtue of his relationship with Ms. Lautenberg.

4. Each RSU is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.

5. The RSUs vested on January 23, 2022.

6. The RSUs vested on January 24, 2022.

7. 54,253 of the RSUs vest in three equal installments on February 1, 2022, February 1, 2023, and February 1, 2024. 75,000 of the RSUs vest on December 31, 2022. 26,841 of the RSUs vest on January 24, 2023.
 8. Pursuant to the terms of the Exchange Agreement, effective as of April 15, 2015, by and among the Issuer, Virtu Financial LLC and the equityholders of Virtu Financial LLC (the "Exchange Agreement"). Virtu Financial Units, together with a corresponding number of shares of Class C Common Stock, may be exchange of Class A common stock of the Issuer, which have one vote per share and economic rights (including rights to dividends and distributions upon liquidation), on a one-for-one basis at the discretion of the holder. The exchange rights under the Exchange Agreement do not expire.
 9. By a limited liability company, DAC Investment LLC, owned by the reporting person and the reporting person's wife.

10. By a trust, for the benefit of the Cifu Family (the "Cifu Family Trust"). Melissa B. Lautenberg, the reporting person's wife, and Dr. Mitchel A. Lautenberg, Ms. Lautenberg's brother, share dispositive control and voting control over the shares held by the Cifu Family Trust. The reporting person may be deemed to beneficially own the shares held by the Cifu Family Trust by virtue of his relationship with Ms. Lautenberg.

Remarks:

/s/ Justin Waldie, as Attorneyin-Fact 01/25/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.