

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

144: Filer Information

Filer CIK 0002150051
Filer CCC XXXXXXXXX
Is this a LIVE or TEST Filing? ☒ LIVE ☐ TEST

Submission Contact Information

Name
Phone
E-Mail Address

144: Issuer Information

Name of Issuer Virtu Financial, Inc.
SEC File Number 001-37352
1633 BROADWAY
NEW YORK
NEW YORK
10019
Address of Issuer
Phone 212-418-0100
Name of Person for Whose Account the Securities are To Be Sold AS & SO Investments LLC

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
Common	UBS SECURITIES LLC 11 Madison Avenue New York NY 10010	200000	12172300.00	88524968	08/20/2026	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired	Is this a Gift?	Date Donor Acquired	Amount of Securities Acquired	Date of Payment	Nature of Payment *
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* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

144: Securities Sold During The Past 3 Months

Nothing to Report ☒

144: Remarks and Signature

Remarks	On August 20, 2026, AS and SO Investments LLC (the VPF Entity) entered into a variable prepaid forward sale transaction with an unaffiliated bank (the Bank) pursuant to a separate Stock Purchase Agreement entered into between the VPF entity and the Bank, dated August 20, 2026 (the Agreement) relating to up to 200,000 shares of Class A Common Stock, Par value \$0.00001 per share (Common Stock), of Virtu Financial, Inc. (the Issuer), or non voting common interest units of Virtu Financial LLC (Units), convertible into Common Stock of the Issuer, and obligating the VPF Entity to deliver to the Bank up to such number of shares of Common Stock or Units (or, at the VPF Entity's election, under certain circumstances, an equivalent amount of cash) to settle the applicable Agreement.
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Date of Notice	08/20/2026
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ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/Mijo Suric, for UBS Financial Services Inc, as attorney-in-fact for AS&SO Investments LLC

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)