FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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5. Relationship of Reporting Person(s) to Issuer

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

1. Name and Address of Reporting Person

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

Mollus	o Joseph	The state of the s				<u>irtu l</u>	<u>Fina</u>	<u>ncial,</u>	<u>Inc.</u> [	VIR	Т]		(0	heck all ap Dire	-		10% O Other (	
(Last) C/O VIR	ost) (First) (Middle) O VIRTU FINANCIAL, INC., 300 VESEY ST.					3. Date of Earliest Transaction (Month/Day/Year) 06/13/2018								X belo	w) ``			
(Street) NEW Y	ORK N	Y	10282		4.	If Ame	ndme	ent, Date	of Origin	nal File	ed (Month/Da	ay/Year)		ne) X Forr	r Joint/Group n filed by On n filed by Mo	e Repo	orting Perso	on
(City)	(S	tate)	(Zip)											Pers				9
		Tal	ole I - N	on-Der	ivativ	e Se	curi	ties Ac	quire	d, Di	sposed o	of, or Be	eneficia	lly Owne	ed			
			2. Transaction Date (Month/Day/Ye		Execution Date,		n Date,			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 au			Secui Benet Owne	icially d Following	Form (D) o	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) or (D)	Price		action(s) 3 and 4)			(Instr. 4)
Class A common stock 06/13/20					/2018	)18			<b>M</b> <sup>(1)</sup>	M <sup>(1)</sup>	45,000	A	\$19		88,304		D	
Class A c	ommon sto	ck		06/13	/2018				<b>S</b> <sup>(2)</sup>		88,304	A	\$30.18	<b>3</b> <sup>(3)</sup>	0		D	
		,	Table II								posed of converti			y Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deer Execution if any (Month/E	med 4. 5. Number of Darivative Day/Year) 8) 5. Number of Derivative Securities Securities 5. Number of Securities 5. Number of Securities (Month/Day/Year) 7. Tittle Expiration Date (Month/Day/Year) 9. Darivative Derivative Derivative Derivative Securities		of Securities Underlying					10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)						
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amount or Number of Shares	5				
Option Award <sup>(4)</sup>	\$19	06/13/2018			M <sup>(1)</sup>			45,000	(5)		(5)	Class A common stock	45,000	\$0	15,00	00	D	
Restricted Stock Unit	(6)								(7)		(7)	Class A common stock	178,27	2	178,2	72	D	
Non- voting common interest units of Virtu	(8)								(8)		(8)	Class A common stock	473,90	7	473,9	07	I	See footnote <sup>(9)</sup>

## **Explanation of Responses:**

Financial LLC

- 1. The stock option exercises reported in this Form 4 were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on May 14, 2018, which provides for the sale of up to 88,304 shares of Class A common stock or securities exercisable for common stock. Accordingly, the reporting person had no discretion with regard to the timing of the transaction.
- 2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on May 14, 2018, which provides for the sale of up to 88,304 shares of Class A common stock or securities exercisable for common stock. Accordingly, the reporting person had no discretion with regard to the timing of the transaction.
- 3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$29.925 to \$30.50, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- 4. Each Option Award is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.
- 5. Options Awards vest in equal installments on each of the first four (4) anniversaries of April 15, 2015. Any fractional Option Award resulting from the application of the vesting schedule under the Amended and Restated 2015 Management Incentive Plan will be aggregated and the Option Awards resulting from such aggregation shall vest on April 15, 2019.
- 6. Each restricted stock unit ("RSU") is granted under the Issuer's 2015 Amended and Restated Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.
- 7. The RSUs vest in three equal installments on January 23, 2019, January 23, 2020 and January 23, 2021.
- 8. Pursuant to the terms of the Exchange Agreement, effective as of April 15, 2015, by and among the Issuer, Virtu Financial LLC and the equityholders of Virtu Financial LLC (the "Exchange Agreement"), Virtu Financial Units, together with a corresponding number of shares of Class C Common Stock, may be exchanged for shares of Class A common stock of the Issuer, which have one vote per share and economic rights (including rights to dividends and distributions upon liquidation), on a one-for-one basis at the discretion of the holder. The exchange rights under the Exchange Agreement do not expire.
- 9. By Virtu Employee Holdco LLC, a holding vehicle through which employees and directors of the Issuer hold vested and unvested Virtu Financial Units and shares of Class C Common Stock. The reporting person disclaims beneficial ownership in such Virtu Financial Units and shares held by Virtu Employee Holdco LLC except to the extent of his pecuniary interest therein.

Justin Waldie

06/15/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.